

Dr. Bhimrao Ambedkar University, Agra

DOCTOR OF PHILOSOPHY DEGREE ORDINANCES, 2016

Proposed Ordinances-2016

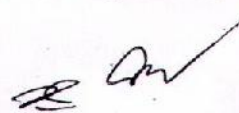
(As per UGC regulation 2016 and GO No. 683/Sattar-1-2014-16(74)/2011)

In exercise of the powers conferred by sub - section (3) of Section 52 of the Uttar Pradesh State Universities Act, 1973 (President's Act No, 10 of 1973), as re-enacted and amended by the Uttar Pradesh Universities (Re-enactment and Amendment) Act, 1974 (U.P, Act No, 29 of 1974) and in supersession of all the previous Ordinances on the subject, the Executive Council, hereby makes the following Ordinances in order to regulate the minimum standards and procedures for award of Ph.D. degree in consonance with the Govt. Order No. 683/Sattar-1-2014-16(74)/2011, dated 28 August 2015 and *University Grants Commission* (Minimum Standards and Procedure for Award of M.Phil./ Ph.D. Degree) *Regulation, 2016*.

- 1.01- These Ordinances shall be called "Dr. Bhimrao Ambedkar University, Agra, Doctor of Philosophy (Ph.D.) Degree Ordinances 2016."
- 1.02- They shall come into force from the session 2017-18.

ENUMERATION OF PH.D. SEATS

- 2.01- At any point of time total number of Ph.D. seats (including those for D. Sc., D.Litt. and LL.D.) shall not exceed 08 for a Professor, 06 for an Associate Professor and 04 for an Assistant Professor.
- 2.02- Based on the above norm and number of Ph.D. Scholars already registered for Ph.D. with all supervisors in the Department, a pre determined and manageable number of feasible vacancies available for new Ph.D. candidates shall be counted on annual basis and communicated by the each Head of Department, in consultation with respective faculty members of the Department, to the Registrar through their respective Dean/Directors along with broad area/sub disciplines available for these vacant positions.
- 2.03- The subject wise number of seats for Ph.D. shall be decided well in advance and displayed on the University website and in the advertisement. The University shall widely advertise the number of available seats for Ph.D. studies and conduct admission on regular basis.
- 2.04- These vacant positions shall be divided as per Reservation Policy of the State -Vertical & Horizontal - categories into unreserved, reserved for OBC and reserved for SC/ST and so classified for each subject.
- 2.05- The procedure for admission, evaluation and award concerning pursuit of Ph.D. in multi - departmental inter - disciplinary Institutes of the University duly established under section 44 shall be the same as specified for regular departments.
- 2.06- There shall be no additional Ph.D. seats in multi-departmental interdisciplinary institutes unless regular whole - time teachers are appointed in the institutes themselves. For teachers otherwise associated with these Institutes, the number of Ph. D. students shall have to be adjusted against


 1

the seats for the associated teachers of these institutes in their parent departments with no more than two for Professor and one each for Associated/Assistant Professor, respectively.

ELIGIBILITY

3.01- A candidate for pursuing research for a Doctor of Philosophy Degree of the University must hold a Post-Graduate Degree with at least 55% marks in the subject concerned and must fulfill other conditions of eligibility (good academic record, and other stipulations, if any) prescribed in the statutes for the recruitment to the post of Assistant Professor,

Provided that in exceptional cases a candidate holding a Master's degree in an allied subject may also be considered for admission to Ph.D. degree by the Research Degree Committee (RDC).

Provided that the students appearing for final year or final semester of their post - graduate degree examination will also be eligible to appear for the admission process subject to the fulfillment of minimum eligibility criteria in the final result thereof.

*Candidates who have cleared the M.Phil course work with at least 55% marks in aggregate or a equivalent grade 'B' in the UGC 7 point scale and successfully completing the M.Phil degree shall be eligible to proceed to do research work heading to the Ph.D. degree in the same institutions in an integrated program.

(a) A relaxation of 5% of marks, from 55% to 50%, or an equivalent in relation to grades may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/ differently abled and other categories of candidates as per the decision of the University Grant Commission from time to time.

(b) Reservations in seats will be as per UP Govt. norms applicable in Admissions.

3.02- In Faculty of Ayurveda, however, a candidate having M.D. (Ayurveda) degree in the subject concerned or equivalent degree of another University recognized by this University shall be eligible for Ph.D. programme in Ayurveda.

PROCEDURE FOR ENTRANCE TEST

4.01- The University or its affiliated Colleges/ Institutes shall not conduct Ph.D. program through distance education mode.

4.02- The University shall admit Ph.D., students only through an entrance test named as "Common Eligibility Test for Ph.D." (CET).

4.03- University shall admit M.Phil. and Doctoral Students through an Entrance Test conducted at the University level.

4.04- The University shall publish a public notification through its website and in atleast three issues of the daily news papers already earmarked.

4.05- The said advertisement will contain the salient features necessary for the purpose, i.e. eligibility criteria, subjects, fee and mode of its payment, reservation, syllabus, scheme, and centre, submission of application, other relevant instructions and anticipated date of the declaration of results thereof.

4.06- A candidate for admission to the Ph.D. degree shall apply to the University on a prescribed form.

* Substitute vide extra ordinary Gazette notification of India, dated 05-05-16 (UGC Regulations, 2016)

- 4.07- The syllabus of the CET will be the same as prescribed for NET/JRF examinations conducted by the University Grants Commission/ Council of Scientific and Industrial Research/Indian Council of Agriculture Research which may be seen from their websites.
- *4.08- A written test shall be based on questions with multiple choices (MCQ), containing two question papers-
First paper shall contain 100 MCQ (of Research Methodology) of 100 marks of two hours' duration.
The Second paper shall contain 100 MCQ of 100 marks of two hours' duration for assessing Subject Knowledge.
There shall be no negative marking.
- 4.09- The question papers shall be prepared in 02 sets by the University.
- 4.10- The Test fee including Application Form, prescribed for different categories for CET – Ph. D. shall be as determined by the University.
- 4.11- The Test shall be conducted at the center(s) notified in advance by the University.
- 4.12- There shall be minimum qualifying marks for the test, i.e: 50 percent marks on the aggregate but minimum 40 percent marks separately in each paper.
Provided that a relaxation of 5 percent marks (on the aggregate and in each paper as well) will be provided to the candidates belonging to the Scheduled Castes/Scheduled Tribes/ Differently-abled (Physically and visually differently-abled):
Provided further that the average percentage of marks shall not be rounded - off to the next higher integer.
- 4.13- Based on the marks obtained in the entrance test, category-wise merit list shall be prepared and the categorywise result of the successful candidates will be displayed on the website of the University.
- i)* It shall be followed by an interview/ counseling to be organized by the School/ Department/ Institute/ University as the case may be. At the time of Interview/ counseling, doctoral candidates may be expected to discuss their research interests/area.
- ii) Only the pre-determined number of students shall be admitted to Ph.D. program.
- 4.14- A categorywise Merit Score Certificate will be awarded and dispatched to the candidate by Speed/Registered Post and through his/her e-mail address, if provided. This certificate will remain effective for next two years from the date of issue.
- 4.15- Deleted.

EXEMPTIONS FROM THE ENTRANCE TEST

- 4.16- The following categories of candidates shall be exempted from the CET for admission to Ph.D. programme-
- (a) Regular teachers of the University or a College affiliated to the University who have completed their probation period successfully,
- (b) International students (including NRIs) which shall be subject to the general rules framed by the Government of India from time to time and as applicable to the University with regard to the admissions, fee etc.

* Substitute vide extra ordinary Gazette notification of India, dated 05-05-16 (UGC Regulations, 2016)

(c) All students who qualify the UGC/CSIR/ICAR (including JRF)/ Teacher Fellowship or other central regulatory bodies for their fellowships, subject to the validity norms of the respective fellowship.

(d) Candidates who have GATE Score of not less than 75% or 95 percentile.

(e) Serving Army, Navy and Airforce Officers of atleast Colonel or equivalent rank with not less than 15 years of service applying for Ph.D. in Defense and Strategic Studies.

*(f) Candidate who have procured M.Phil. Degree with at least 55% marks from this University provided that they had been admitted to M.Phil. program on the basis of an entrance test.

Note: In any situation the number of exempted candidates shall not be more than 50% of the total seats in the respective subjects. If exempted candidates are not available then the remaining seats will be filled by the candidates who qualify the CET.

PROCEDURE FOR ADMISSION

5.01- Candidates (including exempted from entrance test) shall apply to the University on a prescribed Application Form with a fee of Rs. 1000/- (Rupees 700/- in case of SC/ST candidates) for admission to Ph.D. Degree, with duly attested photo copies of Certificates and Degrees.

5.02- A candidate shall ordinarily be permitted to work for the Ph.D. degree in the subject in which he/she holds Master's degree:

Provided that such research work leading to Ph.D. degree in allied subject in the same or other faculty may also be allowed if the Research Degree Committee concerned on recommendation of the concerned Dean of the Faculty or the Director of Institute/Head of Department, is satisfied that the candidate possesses the requisite qualification/capabilities to take up the proposed work in multi-disciplinary areas.

Provided that the category of candidates exempted from CET, will be given preference over the candidates qualifying CET.

Note:

- (i) The Director of Archive, Government of India is eligible for being appointed as Supervisor.
- (ii) Research of living authors is permissible.
- (ii) A candidate holding M.D. Degree in Psychological Medicine (in the Faculty of Medicine) is eligible to supplicate for Ph.D. degree in Sociology.

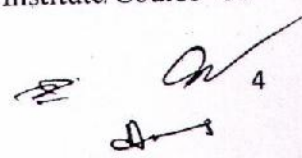
COURSE WORK

6.01- Each student shall pay a sum of Rs. 25,000/- (twenty five thousand only) (Rupees 12,500/- in case of SC/ST candidate) as a fee for Course Work.

6.02- All admitted candidates shall undergo a Course Work for a minimum period of one semester of six months as prescribed by the University.

6.03- The course work shall be treated as Pre- Ph.D. preparation and include a course on research methodology, quantitative methods and computer applications. It may also involve reviewing of published research in the relevant field.

6.04- The Head/ Coordinators of the course work center, shall prepare the time - table for the course work, teaching, continuous evaluation and internal tests and shall conduct the same under the overall supervision of the concerned Dean of Faculty/Director of the Institute/Course Co-ordinator deputed by the Vice-Chancellor.

 4

- 6.05- Minimum attendance required to become eligible to appear in the qualifying examination for each paper shall be 75% of all class lectures (separately in theory and practical). In case, a student is short of attendance due to illness, participation in sports, extra - curricular activities etc., the following rules may be applied:
- (a) Shortage upto 5% may be condoned by the Convener/Dean.
 - (b) A shortage upto a maximum of 10% may be condoned by the Vice Chancellor on the specific recommendations of the Convener/Dean. Minimum 65% attendance is required even after such condonation.
- 6.06- The University shall conduct a semester- end qualifying examination, on par with other university exams, based on the course work in general supervision of the HOD/Director. The pass marks in the Course Work (including internal assessment) shall be 50 percent for all students in each paper and in aggregate as well.
- 6.07- If a candidate fails to qualify the course work examination in first attempt (or not allowed to appear in the examination), he will be given only one additional chance to clear the course work examination along with the next regular batch to qualify this examination.
- 6.08- If found necessary, Course Work may be carried out by Doctoral candidates in sister Departments/Institutes either within or outside the University.

SUBMISSION OF SYNOPSIS

- 7.01- On successful completion of course work, the student shall interact with Ph.D. supervisors with vacant seats available in his chosen broad sub-discipline and shall submit a synopsis for Ph.D. work along with a list of three proposed supervisors with vacant Ph.D. seat and expertise in the area covered in his synopsis.
- 7.02- The synopsis shall be placed before a Research Degree Committee (herein after referred to as RDC), which may be separate for each department and shall consist of -
- (a) the Vice-Chancellor as Chairman.
 - (b) the Dean of the faculty or the Director of the Institute,
 - (c) the Head of the Department/ Convener of BoS, as convener and
 - (d) two experts nominated by the Vice-Chancellor in consultation with the members mentioned at (b) and (c) above for one year.
- Provided that in the matters of the teaching department/ institute of the University, the Academic Committee, as provided for in the Ordinances concerned, shall replace the Research Degree Committee.*
- 7.03- The Research Degree Committee (RDC) shall arrange for an interview which may include Presentations, Group Discussions or other modes of appraisal.
- 7.04- The candidates, who have successfully completed their Course Work, shall be required to be present before the RDC for presentation of synopsis. The Supervisors may also attend the RDC meeting; the Committee shall satisfy itself that the subject offered is such which can properly be pursued under the guidance of a Supervisor and that the candidate possesses the requisite qualifications and the adequate facilities and equipment for work exist at the department/research centre of Institution concerned.
- 7.05- At the time of Interview the candidate is expected to discuss his/her area of research interest in the concerned subject.

- 7.06- The RDC will allocate an appropriate Ph.D. supervisor for those candidates whose synopsis has been found suitable and recommend them for approval to the Faculty Board through the Dean or the Director concerned.
- 7.07- The Dean of Faculty/Director/ Head of the Department concerned shall place all such recommendations before the Board of Faculty for approval.
- 7.08- In case, the RDC finds that the synopsis is not upto the mark, it shall make specific suggestions for improving the synopsis. The candidate after making the necessary improvement shall re-submit his/her synopsis for approval. The revised synopsis is to be submitted within 30 days from the date of the RDC meeting. If RDC is satisfied on these points, it shall report the application to the Academic Council for permission being granted to the candidate.
- 7.09- In case, the synopsis is rejected, the candidate may submit the new synopsis within two months to be placed before the next meeting of the RDC. No further chance will be given after this submission.
- 7.10- Only the Pre-determined number of students shall be admitted to Ph.D. Programme.
- 7.11- While granting admission to candidates, the University will pay due attention to the State Reservation Policy.
- 7.12- A candidate may be allowed to pursue his/her research work at one of the Government aided affiliated/associated/constituent college in the regular departments having PG courses with 10 years of standing.
- 7.13- The Dean of each faculty shall place all such recommendations before the Board of Faculty.
- 7.14- All proposals approved by the Board of various Faculties shall be forwarded to the Registrar who shall place the same before the admission committee for final approval for registration as a Ph.D. student of the University.

ELIGIBILITY CRITERIA AND ALLOCATION OF SUPERVISOR

- 8.01- The University shall lay down and decide on annual basis, a predetermined and manageable number of Ph.D. students depending on the number of the available eligible Faculty Supervisors. A Supervisor shall not exceed the number of scholars mentioned in ordinance 2.01.

***8.02 -** Any regular Professor of the University/Institute/ Affiliated/ Constituent College with atleast five research publications in refereed journals and any regular Associate/Assistant Professor of the University/Institute/ Affiliated/ Constituent College with a Ph.D. degree and atleast two research publications in refereed journals may be recognized as Research Supervisor having at least 5 years of Post Graduate teaching experience.

Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the University may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

***8.02-A-** Only a full time regular teacher of the concerned University/Institute/ Affiliated/ Constituent College can act as a Supervisor. The external Supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the RDC.

[Handwritten signature] 6

ALLOCATION OF RESEARCH SUPERVISOR

8.03 - The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and the research interest of the scholar as indicated by them at the time of interview/*viva voce*.

8.04 (a)- The Vice Chancellor in consultation with the Deans of the Faculties or the Directors of Institute or Head of the Department concerned, shall approve a list of qualified supervisors in accordance with the aforesaid Ordinances and may add or delete names accordingly.

(b) A supervisor shall not be allowed to supervise a candidate who is his/her relative whether by blood or by marriage,

Explanation - In this Ordinance "relative" means the relations provided in the Explanation to Section 20 of, the U.P. State Universities Act, 1973.

*8.05 - In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.

8.06- The allocation of the supervisor for an eligible student shall be decided by the Counseling Committee comprising of - the Dean or the Director of Institute, head of the Department and prospective Supervisors, in a formal manner depending on the number of students per faculty member, the available specialization among the faculty Supervisor and the research interest of the student as indicated during the interview by the candidate.

8.07 - In case of relocation of a Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

8.07-A-No change of supervisor shall ordinarily be allowed, but in special cases, where the Head of the Department is satisfied that the research work of a scholar will suffer -

(i) on account of migration, retirement, long leave, or for any other reason the supervisor may not be available to guide the scholar, or

(ii) as the supervisor is not willing to, or not in a position to supervise a scholar,

(iii) due to existence of extra-ordinarily situations necessitating such a change,

(iv) for women candidates as provided for in Ordinance 8.07.

In such cases, the RDC may recommend a change of supervisor to the Vice-chancellor through the Dean of Faculty or the Director of Institute who may allow change of the supervisor.

8.08- The RDC, at its discretion may also decide whether change of supervisor will require fresh registration of the scholar.

8.09- Regular whole- time teachers of the University and its affiliated/Constituent colleges may be permitted to present a thesis for the degree of Doctor of Philosophy after five years of continuous service as a full time teacher in the University/College without a Supervisor:

Provided that his/her topic has been duly approved by Board of Faculty atleast two full academic sessions before the date of submission of the thesis. The procedure of evaluation of such a thesis shall be the same as that of those submitted by regular Ph.D. candidates.

SUBMISSION OF THESIS

- 9.01- The period of a candidate's studentship shall be counted from the date on which he/she had deposited his/her fees before the commencement of the Course Work, as mentioned in Ordinance 6.01.
- 9.02- Continuation of the registration of candidate in the Ph.D. Programme shall depend on his/her satisfactory progress and good conduct. The University reserves the right to cancel the registration of any candidate in the event of his/her conduct and progress being found otherwise.
- 9.03- The candidate shall be required to submit a progress report every six months to the University through Supervisor.
- 9.04- A candidate registered for the Ph.D. Degree shall be required to pursue his/her research at the head- quarter of the University/Institution under the supervisor and on the subject approved for not less than thirty six months including the period of Course Work commencing from the date of approval by RDC and must put in atleast 180 days attendance in the department concerned after the completion of the course work;

Provided that the Vice-Chancellor, on the recommendation of the Supervisor, the Head of the Department, and the Dean, grant permission to candidate to reside outside for purpose of collection of research material for his/her thesis or doing experimental research work for a total period of not more than one year provided that such exemption shall not be granted in the first six months

Provided further that the Vice-Chancellor on recommendation of the Supervisor, the Head of the Department, and Dean, may allow a candidate to work at such centers of research within area of its jurisdiction or such research centres of repute outside its jurisdiction with which a Memorandum of Understanding (MOU) has been signed by the University for this purpose and as may be approved by the Academic Council in this behalf in which case the candidate can have a co-supervisor who shall be not below the rank of Associate Professor or Senior Scientist of equivalent rank from the research centre concerned.

- 9.05- In special circumstances, a candidate may, not later than one year from the date on which approval was granted to him or next meeting of the RDC, modify the scheme of the topic with the approval of the Vice-Chancellor on the recommendation of the Supervisor, the Head of the Department and the Dean.
- 9.06- In case, a candidate fails to submit his/her thesis within four calendar years, he/she shall cease to be a bonafide student of the University and shall not be entitled to the rights and facilities extended to a regular student:

Provided that such a candidate may, under special circumstances, be permitted by the Vice-Chancellor, on collective recommendations of the supervisor, the Head of Department and the Dean of the Faculty concerned, to submit his/her thesis within a maximum period of six years including the four years period of his/her studentship.

Con 8

- 9.07- A candidate submitting his/her thesis after the expiry of four years period shall have to pay additional fee of Rs. Two Thousand only, to the University.
- 9.08- The registration of the candidate who does not submit his/her thesis within six years from the date of his/her studentship shall be deemed to be cancelled automatically.
- 9.09- Every Research scholar will be required to submit a progress report every six months to the University through Supervisor.
- 9.10- Prior to submission of the thesis, the student shall make a pre- Ph.D. presentation in the concerned Department that will be open to all faculty members and research students, for getting feed- back and comments, which may be suitably incorporated into the draft thesis under the advice of the supervisor. The supervisor shall provide a certificate to this effect.
- 9.11- The research scholar shall publish atleast one research paper in refereed journal or in any of the journals approved by the respective department before the submission of the thesis for evaluation and produce evidence for the same in the form of acceptance letter or the reprint.
- 9.12- (a) A scholar may be permitted to make minor modifications in the title of his thesis by the Dean of the Faculty concerned on recommendation from the supervisor and the Head of the Department, but not later than six months before the submission of his/her thesis.
(b) In case of major modifications or Topic change the scholar will be treated as a fresh case and shall not be allowed to submit the thesis before two years.
- 9.13- A thesis already presented for the Master of Philosophy (M.Phil). Degree shall not again be accepted for the degree of Doctor of Philosophy. However, some portion of it may be utilized in the Ph.D. thesis. The thesis should make substantive contribution to the discipline concerned, as evinced by discovery of new facts, or a fresh interpretation of facts or theories and should be so certified by the scholar and the supervisor.
- 9.14- (i) When the thesis is ready for submission, the scholar shall apply through his/her Supervisor stating therein that the thesis is on the point of completion. Such a report shall be received atleast one month before the completion of the thesis.
(ii) The Head of Department concerned shall place the application before the Board of Studies, which shall recommend a panel of not less than six external experts of Professor level alongwith their e-mail address, postal address, fax and contract number of each expert in addition to the supervisor who shall also be an examiner. The Supervisor shall be co-opted as a member of the Board of Studies for this purpose. Out of this panel, atleast three experts, inclusive of the Supervisor, shall be appointed by the Vice-Chancellor in accordance with the due procedure to evaluate the thesis. It is to be ensured that atleast one examiner- expert shall be from outside the State. It shall be upto the University to have one examiner from outside the Country,
(iii) In the case, the thesis is not submitted within the stipulated time of three months, the panel shall stand lapsed and a fresh panel shall have to be recommended by the Board of Studies.
(iv) Every effort should be made to ensure that the panel of examiners is representative in terms of the University and that not more than one examiner is chosen from one University.
- 9.15- The research scholar shall submit the thesis in four printed or type written, but not published earlier, copies of his/her thesis, and two CDs in PDF format alongwith the three copies of summary and approved synopsis, Published matters may also be incorporated mentioning the sources as part of the thesis.

[Handwritten signatures]

The medium of expression of every thesis shall be either English or Hindi (written in Devanagari Script) except in the case of subject connected with any of the oriental language where the thesis, may at the option of the candidate, be presented in that language.

9.16- The thesis shall comply with the following conditions:-

- (i) It must be a piece of research work characterized either by the discovery of facts or theories. In either case it should reflect the candidate's capacity for critical examination and sound judgment. The candidate shall communicate, how far the thesis embodies the result of candidate's own observations and in what respects his investigations may advance knowledge in the subject.
- (ii) It shall be satisfactory so far as its literary presentation is concerned and must be in a form suitable for publication.
- (iii) The thesis shall be accompanied by a certificate from the supervisor stating;
 - (a) that the thesis embodies the work of the candidate himself/herself,
 - (b) that the candidate worked under him/her for the period required under Ordinance, and
 - (c) that he/she has put in the required attendance in the department during that period.
- (iv) The candidate shall also remit, with thesis, Rs. 10,000/- (Ten Thousand Only) Rs 5,000/- (Five Thousand in case of SC/ST candidates) as the evaluation and viva - voce examination fee for Ph.D. degree.

EVALUATION AND ASSESSMENT METHODS

10.01- On receipt of the thesis, alongwith the certificates and the fee mentioned above, the thesis shall be sent within two weeks to examiners selected for the purpose after receiving their consent. In no case, the maximum time for all this process shall exceed two months.

- 10.02- (i) If the examiners consider the thesis to be of sufficient merit, they may recommend that the thesis be accepted for the award of the degree of Doctor of Philosophy.
- (ii) On receipt of satisfactory evaluation reports, these shall be laid before the Examination Committee or Sub- Committee thereof appointed for the purpose.
 - (ii) If the Committee is satisfied that the reports of the Examiners are unanimous and definite, the candidate shall be required to undergo a Viva-Voce examination to be conducted by two examiners of whom one shall ordinarily be the supervisor and the other one from amongst the two external examiners, who have evaluated the thesis. If the external examiners evaluating the thesis are from outside the country, another external examiner may be specially appointed for the purpose.
 - (iv) The Head of the. Department shall preside and conduct the proceedings of the Viva-Voce examination, but he/she shall not be a party to the decision.
 - (v) In case the supervisor is not available, the Head of the Department himself or any senior teacher of Department as recommended by the Board of Studies may act as internal examiner,
 - (vi) The Viva-Voce examination shall be held at the University Headquarter and will be open to all interested in the subject, where the candidate shall be required to present the main findings of his/her thesis and defend the same. After satisfactory Viva-Voce, the Examination Committee or Sub-Committee, as the case may be, may recommend that the result of the candidate be declared and the result shall be declared accordingly.

 10

10.03- (i) If the majority of examiners recommend that the candidate be asked to improve his thesis, the Executive Council/Vice Chancellor may permit the candidate to re-submit his thesis, not earlier than six months and not later than the date to be fixed by the Executive Council/Vice-Chancellor in such cases.

(ii) In case a candidate is allowed to re-submit his thesis, he shall have to pay a fresh fee of Rs. five thousand at the time of resubmitting the thesis but it shall not be necessary to produce any certificate e.g. further attendance at the Institution.

10.04- (i) If reports of the examiners show a divergence of opinion between the examiners, the Examination Committee or Sub-Committee thereof appointed for the purpose, may direct that the reports be exchanged between them, the examiners being requested to submit a joint report, if possible.

(ii) If there is divergence of opinion even after the exchange of reports, a fourth examiner shall be appointed from the panel of examiners already approved whose decision shall be final:

Provided that if two examiners recommend the revision of the thesis either originally or after the exchange of reports, the thesis shall be revised and resubmitted. The revised thesis shall ordinarily be examined by the same set of examiners for evaluation after obtaining their consent afresh.

Provided further that in case two examiners reject a thesis originally or after the exchange of reports, the thesis shall be finally rejected.

10.05- A printed copy, not used for evaluation and an electronic version on a CD of each accepted thesis shall be lodged with the University Library or Research Centre at which the candidate pursued research where it will be open to public inspection.

10.06- The copies of report of examiners of the thesis and viva voce reports, may be given to the candidate on his written request, only in cases where the thesis has been finally accepted for award of the degree, after award of the degree.

DEPOSITORY WITH UGC

11.01- Following the successful completion of the evaluation process and conferment of the award of Ph.D., the University shall submit a soft copy of the Ph.D. thesis to the INFLIBNET within 30 days, for hosting the same, so as to make it accessible to all Institutions/Universities.

11.02- The University shall host another soft copy of the Ph.D. thesis on its website for general viewing.

11.03- The University, alongwith the Degree, shall issue a Provisional Certificate certifying to the effect that the Degree has been awarded in accordance with the provisions to the University Grants Commission (Minimum Standards and Procedure For Award" of M.Phil./Ph.D. Degree) Regulations 2016.

Note:

1. The University means "Dr. Bhimrao Ambedkar University, Agra"
2. CET means Ph.D. Entrance Test conducted by the Dr. Bhimrao Ambedkar University, Agra.
3. Research Degree Committee (RDC) in case of Affiliated Colleges whereas it will be Academic Committee in the case of Residential Institute and Department of the University.


(Dr. Anil Verma) (Dr. Hanish chandra) (Dr. Sanjeev Kumar) 11